



Subject:	Governing Body Assurance Framework
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Submitted to:	NHS West Norfolk CCG Governing Body, 1 st August 2019
Purpose of Paper:	For Review

The Governing Body Assurance Framework (GBAF)

The GBAF is presented to the Governing Body for review. This month the GBAF shows 11 risks, amendments have been made as follows (risk number and description only):

Significant “red” risks

There are 4 risks rated significant.

Changes to risk rating – Decrease

4.1 Risk of failure to discharge financial duties of CCG - failure to achieve statutory requirements, financial balance, lack of financial grip - NHSE have adjusted the CCGS risk rating in relation to forecast outturn financial position and the CCG delivered its control total target for 2018/19.

Changes to risk rating – Increase

None

New Risks

None

Risks Transferred from the Corporate Risk Register to the GBAF

None

Risks to be Moved to the Corporate Risk Register

None

Risk to be removed from the Register

None

Change to Risk Description

None

Two risks have been reviewed and updated since the last Governing Body.

KEY RISKS (in relation to CCG strategic objectives and statutory duties)

Clinical & Quality: Any risks not properly identified or mitigated could have a significant impact on the clinical quality of commissioned services.

Finance and Performance: Any risks not properly identified or mitigated could have a significant impact on the financial and operational performance of the CCG.

Reputation: Any risks not properly identified or mitigated could have a significant impact on the reputation of the CCG.
Legal: Any risks not properly identified or mitigated could have legal consequences for the CCG.
Patient focus: Any risks not properly identified or mitigated could impact on patient services.
Information Governance: N/a
Conflicts of Interest: N/a
Equality Impact Assessment: N/a
Reference to relevant risk on the Governing Body Assurance Framework: N/a
RECOMMENDATION: The Governing Body is asked to review the GBAF.