

Subject:	Governing Body Assurance Framework
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Submitted to:	NHS West Norfolk CCG Governing Body, 24 th May 2018
Purpose of Paper:	For Review

The Governing Body Assurance Framework (GBAF)

The GBAF is presented to the Governing Body for review. This month the GBAF shows 11 risks, amendments have been made as follows:

Significant “red” risks

There are 4 risks rated significant.

Changes to risk rating – Decrease

- 3.1 Inability to take commissioning decisions or commit resources without clinical engagement and clinical leadership from general practice
- 4.1 Risk of failure to achieve Best Value - failure of service transformation to achieve financial savings, improve outcomes, quality and productivity, reduce variation and inequalities
- 4.3 Risk of significant over-performance on acute contracts - risk to delivery of breakeven duty and planned surplus
- 5.1 Due to a range of pressure on primary care in West Norfolk the local population may be unable to access a full range of primary care services.

Changes to risk rating – Increase

None

New Risks

None

Risks Transferred form the Corporate Risk Register to the GBAF

None

Risks Moved to the Corporate Risk Register

None

Risk to be removed from the Register

None

Change to Risk Description

None

The GBAF continues to go the Senior Management Team on a monthly basis.

KEY RISKS (in relation to CCG strategic objectives and statutory duties)

Clinical & Quality: Any risks not properly identified or mitigated could have a significant impact on the clinical quality of commissioned services.

Finance and Performance: Any risks not properly identified or mitigated could have a significant impact on the financial and operational performance of the CCG.
Reputation: Any risks not properly identified or mitigated could have a significant impact on the reputation of the CCG.
Legal: Any risks not properly identified or mitigated could have legal consequences for the CCG.
Patient focus: Any risks not properly identified or mitigated could impact on patient services.
Information Governance: N/a
Conflicts of Interest: N/a
Equality Impact Assessment: N/a
Reference to relevant risk on the Governing Body Assurance Framework: N/a
RECOMMENDATION: The Governing Body is asked to review the GBAF.